

## THE VIRTUAL SOLVABILITY OF THE FUNDAMENTAL GROUP OF A GENERALIZED LORENTZ SPACE FORM

G. TOMANOV

### Introduction

Let  $\text{Aff}_n(\mathbb{R})$  denote the group of all affine transformations of the real affine vector space  $\mathbb{R}^n$ . It is well known that  $\text{Aff}_n(\mathbb{R})$  is isomorphic to the semidirect product  $\text{Gl}_n(\mathbb{R}) \ltimes \mathbb{R}^n$ , where  $\mathbb{R}^n$  is identified with the group of all translations of  $\mathbb{R}^n$ . Let  $\pi: \text{Aff}_n(\mathbb{R}) \rightarrow \text{Gl}_n(\mathbb{R})$  be the natural projection. A subgroup  $\Gamma \subset \text{Aff}_n(\mathbb{R})$  is called  $G$ -linear if  $\pi(\Gamma) \subset G$ , where  $G$  is a real algebraic group, i.e.,  $G$  is the group  $\mathbf{G}(\mathbb{R})$  of  $\mathbb{R}$ -points of an algebraic subgroup  $\mathbf{G}$  of  $\text{Gl}_n(\mathbb{C})$  defined over  $\mathbb{R}$ . Let  $G^0$  be the connected component of  $G$ , and let  $G^0 = SR$  be the Levi decomposition of  $G^0$ , where  $R$  is the solvable radical of  $G$ , and  $S$  is a maximal semisimple subgroup of  $G^0$ . Let  $S = S_1 S_2 \cdots S_r$  be an almost direct product of simple Lie subgroups  $S_i$ . The group  $\Gamma$  is called a group of generalized Lorentz motions if every  $S_i$  is a group of (real) rank  $\text{rk}_{\mathbb{R}} S_i \leq 1$ . (By a rank of  $S_i$  we mean the dimension of any maximal  $\mathbb{R}$ -split torus in the Zariski closure  $S_i$  of  $S_i$  in  $\mathbf{G}$ .) Assume that  $\Gamma$  acts properly discontinuously on  $\mathbb{R}^n$  (i.e., the set  $\{\gamma \in \Gamma \mid \gamma K \cap K \neq \emptyset\}$  is finite for every compact  $K \subset \mathbb{R}^n$ ), and that the quotient  $\mathbb{R}^n/\Gamma$  is compact. In the case where  $\Gamma$  is a group of Lorentz motions (that is  $G = \text{SO}(n-1, 1)$ ) it was proved in [9] that  $\Gamma$  is a virtually solvable group, i.e.,  $\Gamma$  contains a solvable subgroup of finite index. The aim of the present paper is to prove similar results for all groups  $\Gamma$  of generalized Lorentz motions.

**Theorem A.** *Let  $\Gamma$  be a  $G$ -linear subgroup of  $\text{Aff}_n(\mathbb{R})$ . Assume that (a)  $\Gamma$  acts properly discontinuously on  $\mathbb{R}^n$ , (b)  $\mathbb{R}^n/\Gamma$  is compact, and (c)  $\Gamma$  is a group of generalized Lorentz motions. Then  $\Gamma$  is a virtually solvable group.*

According to a result of G. A. Margulis [15] if  $\Gamma$  is a group of generalized Lorentz motions which acts properly discontinuously on  $\mathbb{R}^n$  but  $\mathbb{R}^n/\Gamma$  is not compact, then  $\Gamma$  is not necessarily a virtually solvable group.

Also, remark that by the recent result of Y. Carrière [6, Theorem 1.2.1]  $\pi^{-1}(\pi(\Gamma) \cap \overline{\pi(\Gamma)}^0)$ , where  $\overline{\pi(\Gamma)}^0$  is the connected component of the closure of  $\pi(\Gamma)$  in the Euclidean topology of  $GL(n, R)$ , is a unipotent group. Furthermore, [17, Proposition 3.10] implies that  $\Gamma$  is a virtually solvable group if and only if it is a virtually polycyclic group.

Theorem A has a natural geometrical reformulation. Recall that an affine manifold is one which admits a covering by a coordinate system where the overlap homeomorphisms should extend to affine transformations from  $\text{Aff}_n(\mathbb{R})$ . An affine space form  $M$  is a compact affine manifold which is also geodesically complete, i.e., the universal covering manifold  $\tilde{M}$  is affinely diffeomorphic to  $\mathbb{R}^n$ . It is well known (cf. [26, Corollary 1.9.6]) that any affine space form is obtained by forming the quotient  $\mathbb{R}^n/\Gamma$  of  $\mathbb{R}^n$  by a subgroup  $\Gamma \subset \text{Aff}_n(\mathbb{R})$  which acts on  $\mathbb{R}^n$  freely, properly discontinuously, and with compact fundamental domain. If  $\Gamma$  is  $G$ -linear and  $\text{rk}_{\mathbb{R}} S_i \leq 1$  for every simple factor  $S_i$  of the semisimple part  $S$  of  $G^0$ , then we shall call  $M$  a generalized Lorentz space form (compare [9]). Since every finitely generated linear group contains a torsion free subgroup of finite index (a theorem of Selberg, see [19]) Theorem A is equivalent to the following.

**Theorem B.** *The fundamental group  $\pi_1(M)$  of a generalized Lorentz space form is virtually solvable. In particular,  $M$  has a finite covering diffeomorphic to a solvmanifold.*

Our theorem affirms (for generalized Lorentz space forms) a long standing conjecture due to L. Auslander (see [1], [15], [16]) that the fundamental group of any affine space form is virtually solvable. Except for Lorentz space forms [9] (see, also, the work [7] for  $n = 4$ ), Theorem A (equivalently, Theorem B) has been established in the following particular cases: (a) for euclidean space forms, i.e.,  $\Gamma$  is a discrete subgroup of  $O(n) \times \mathbb{R}^n$  (this is the classical Bieberbach theorem [17, Corollary 8.26]); (b) when  $n = 2, 3$  [8]; and (c) when  $G$  is a reductive group and, furthermore,  $\text{rk}_{\mathbb{R}} G \leq 1$ , the result was recently proved by F. Grunewald and G. A. Margulis [10].

In a somewhat weaker form our result was previously proved in [24]. Finally, note that a slight modification of our method proves the above-mentioned conjecture for small values of  $n$  (at least for  $n = 4, 5$  [25]).

The author is pleased to thank G. A. Margulis for many useful conversations, and also the Tata Institute of Fundamental Research for their hospitality during the preparation of this paper.

**1. On the action of solvable radicals on  $\mathbb{R}^n$**

In this section  $\Gamma$  is a subgroup of  $\text{Aff}_n(\mathbb{R})$  such that  $\Gamma$  acts properly discontinuously on  $\mathbb{R}^n$ , and  $\mathbb{R}^n/\Gamma$  is compact. Since  $\text{Aff}_n(\mathbb{R})$  is a real algebraic group, we can consider the Zariski closure  $H$  of  $\Gamma$  in  $\text{Aff}_n(\mathbb{R})$ . Let  $R$  (resp.  $U$ ) be the solvable (resp. unipotent) radical of  $H$ .

The following general fact is well known [20]. If  $\Gamma$  is a discrete group of automorphisms of a contractible manifold  $X$ , and  $\Gamma$  acts freely on  $X$ , then  $\text{cd}\Gamma \leq \dim X$ , where  $\text{cd}\Gamma$  stands for the cohomological dimension of  $\Gamma$ ; the equality  $\text{cd}\Gamma = \dim X$  holds if and only if  $X/\Gamma$  is compact. Recall that if  $\Gamma$  is any discrete group, and  $\Gamma' \subset \Gamma$  is a torsion free group of finite index, then the virtual cohomological dimension  $\text{vcd}\Gamma$  of  $\Gamma$  is equal to  $\text{cd}\Gamma'$  [20].

**1.1 Lemma.**<sup>1</sup> *With the above notation and assumptions,  $U$  acts transitively on  $\mathbb{R}^n$ .*

*Proof.* The group  $H$  is a semidirect product  $M \ltimes U$  of its reductive subgroup  $M$  and the unipotent radical  $U$ . Since  $\text{Aff}_n(\mathbb{R})$  can be viewed as a subgroup of  $\text{Gl}_{n+1}(\mathbb{R})$  acting on a hyperplane  $x_{n+1} = 1$  in  $\mathbb{R}^{n+1}$  (see, for example, [2]), the action of  $M$  on  $\mathbb{R}^n$  admits a fixed point  $x_0 \in \mathbb{R}^n$ . Hence  $Hx_0 = Ux_0$ . It is well known that  $Ux_0$  is closed in  $\mathbb{R}^n$  and homeomorphic to a real vector space [4], [18]. On the other hand,  $\Gamma$  acts properly discontinuously on  $Ux_0$ , and the quotient  $Ux_0/\Gamma$  is compact. In view of the Selberg theorem [19],  $\Gamma$  contains a subgroup of finite index, which acts freely on  $\mathbb{R}^n$ . Therefore  $\text{vcd}\Gamma = \dim Ux_0 = \dim \mathbb{R}^n$ , i.e.,  $Ux_0 = \mathbb{R}^n$ . The lemma is proved.

**1.2.** Let  $\Lambda = \Gamma \cap R$  and let  $R_1$  be the Zariski closure of  $\Lambda$  in  $H$ . In view of Lemma 1.1 the group  $H$  acts transitively on  $\mathbb{R}^n$ . If  $x \in \mathbb{R}^n$  and  $h \in H$ , then  $h(R_1x) = (hR_1h^{-1})hx = R_1(hx)$ . Therefore  $H$  acts transitively on the set  $X = \{R_1x | x \in \mathbb{R}^n\}$  of all orbits of  $R_1$ . In particular,  $X$  can be identified with the homogenous space  $H/H_0$ , where  $H_0$  is the isotropy group of an element  $y_0 \in X$ , and  $H$  acts on  $H/H_0$  by left multiplications. But  $H$  contains a maximal reductive subgroup  $M$  fixing  $y_0$  and  $H = M \ltimes U$ . Therefore  $H/H_0$  is isomorphic (as a real algebraic variety) to  $U/U_0$ , where  $U_0 = U \cap H_0$ . Since  $U/U_0$  is isomorphic to a real vector space we obtain the following.

**Lemma.**  *$X$  can be identified with a real vector space in such a way that the real algebraic group  $H$  acts algebraically on  $X$ .*

---

<sup>1</sup>This result was first proved by W. Goldman and M. W. Hirsch, Trans. Amer. Math. Soc. 295 (1986) 175-198 (Theorem 2.6).

**1.3. Lemma.** Let  $Y = R_1 x$ ,  $x \in \mathbb{R}^n$ , and  $U_1$  be the maximal unipotent subgroup of  $R_1$ . Then

- (a)  $U_1$  acts transitively and freely on  $Y$ ,
- (b)  $\Lambda$  acts properly discontinuously on  $Y$  and  $Y/\Lambda$  is compact.

In particular,  $\Lambda$  is a finitely generated group.

*Proof.* Let  $R_1 = T_1 U_1$ , where  $T_1$  is a reductive subgroup of  $R_1$ . There is a point  $x_0 \in \mathbb{R}^n$  such that  $R_1 x_0 = U_1 x_0$ . Since  $U_1$  is a normal subgroup of  $H$ ,

$$R_1(hx_0) = h(R_1 x_0) = h(U_1 x_0) = U_1(hx_0)$$

for every  $h$  in  $H$ . Hence  $U_1$  acts transitively on  $Y$ , and the reductive subgroup  $T_1$  can be chosen in such a way that  $T_1 x = x$ . It is well known that every discrete Zariski dense subgroup of  $\mathbb{R}^k$  is a cocompact lattice in  $\mathbb{R}^k$ . Using this one easily proves (by induction on  $\dim R_1$  and reducing to the case when  $R_1$  is abelian) that  $R_1 = \Lambda K T_1$  for some compact  $K \subset R_1$ . Hence (b) is proved. In order to prove (a) we assume the contrary, i.e., let  $ux = x$  for some  $u \in U_1$ ,  $u \neq 1$ . Denote  $Y' = R_1/T_1$ . There is a continuous  $R_1$ -equivariant map  $\varphi: Y' \rightarrow Y$ . Let  $\varphi x' = x$ ,  $x' \in Y'$ . For every positive integer  $i$ , let  $u^i x' = \lambda_i c_i x'$ , where  $\lambda_i \in \Lambda$  and  $c_i \in K$ . It is easy to see that  $\{\lambda_i | i \in \mathbb{N}\}$  must be an infinite subset of  $\Lambda$ . On the other hand,  $x = u^i x = \varphi(\lambda_i c_i x') = \lambda_i c_i x$ . Since  $\Gamma$  acts properly discontinuously on  $\mathbb{R}^n$ , the set of pairwise different  $\lambda_i$  must be finite. This contradiction completes our proof.

**1.4.** We set  $\tilde{\Gamma} = \Gamma/\Lambda$  and consider the action of  $\tilde{\Gamma}$  on  $X$ .

**Proposition.** (a)  $\tilde{\Gamma}$  acts properly discontinuously on  $X$ , and the quotient  $X/\tilde{\Gamma}$  is compact,

- (b)  $\dim X = \text{vcd } \tilde{\Gamma}$ ,
- (c)  $\text{vcd } \Gamma = \text{vcd } \Gamma/\Lambda + \text{vcd } \Lambda$ .

*Proof.* Assume (a) holds. Then (b) and (c) follow directly from Lemma 1.2 and Lemma 1.3, respectively.

Let us prove (a). The compactness of  $X/\tilde{\Gamma}$  follows from the compactness of  $\mathbb{R}^n/\Gamma$ . The rest of the proof will be given in several steps. Let  $\Lambda' = R_1^0 \cap \Lambda$ , where  $R_1^0$  is the connected component of the identity in  $R_1$ . First note that there is a connected Lie subgroup  $L$  of  $R_1$  such that  $\Lambda' = L \cap \Lambda$  and  $L/\Lambda'$  is compact. This follows easily by induction on  $\dim R_1$  (reducing to the case where  $R_1$  is abelian) from the following facts: (1) the commutator group  $[R_1, R_1]$  is a real unipotent algebraic group, (2)  $\Lambda \cap [R_1, R_1]$  is cocompact in  $[R_1, R_1]$ , (3)  $\Lambda$  is a finitely

generated group, and (4) the simply connected covering of  $R_1^0/[R_1, R_1]$  is homeomorphic to a real vector space. Next note that  $Lx = R_1x$  for each  $x \in \mathbb{R}^n$ . To see this fix a maximal reductive subgroup  $T_1 \subset R_1$  with  $T_1x = x$ . The group  $R_1$  is a semidirect product of  $U_1$  and  $T_1$ . Let  $\varphi: R_1 \rightarrow U_1$  be the natural projection. It is enough to prove that for each  $u \in U_1$  there exists a  $g \in L$  such that  $\varphi(g) = u$ . But  $L$  contains  $[R_1, R_1]$  and, therefore, we can reduce the proof to the trivial case where  $R_1$  is abelian.

In order to finish the proof of (a) we fix a compact  $C \subset L$  such that  $L = \Lambda' C$ . Let  $\psi: \Gamma \rightarrow \tilde{\Gamma}$  be the natural homomorphism and  $\theta: \mathbb{R}^n \rightarrow X$  be the factor map (i.e.,  $\theta(x) = U_1x$  for every  $x \in \mathbb{R}^n$ ). Let  $K \subset X$  be a compact set. Fix a compact  $K' \subset \mathbb{R}^n$  with  $\theta(K') = K$ . Let  $\hat{\gamma} \in \tilde{\Gamma}$  and  $\hat{\gamma}K \cap K \neq \emptyset$ . Then  $\gamma(CK') \cap CK' \neq \emptyset$  for some  $\gamma \in \Gamma$  with  $\psi(\gamma) = \hat{\gamma}$ . But  $\{\gamma \in \Gamma | \gamma(CK') \cap CK' \neq \emptyset\}$  is a finite set. Therefore  $\tilde{\Gamma}$  acts properly discontinuously on  $X$ . The proposition is proved.

1.5. Denote  $H_1 = H/R$ . The group  $\tilde{\Gamma}$  can be embedded in  $H_1$ . Note that  $\tilde{\Gamma}$  is a discrete subgroup of  $H_1$ . This easily follows from the following theorem due to L. Auslander (see [17, Theorem 8.24]). Let  $G$  be a Lie group,  $R$  be a connected solvable normal subgroup of  $G$ , and  $\psi: G \rightarrow G/R$  be the natural homomorphism. Then if  $\Gamma$  is a discrete subgroup of  $G$ , the connected component  $\overline{\psi(\Gamma)}^0$  of the identity in the closure of  $\psi(\Gamma)$  in  $G/R$  is solvable.

Let  $K$  be a maximal compact subgroup of  $H_1$ . Then the quotient  $H_1/K$  (called the symmetric space of  $H_1$ ) is known to be homeomorphic to a real vector space. The group  $\tilde{\Gamma}$  acts (by left multiplications) on  $H_1/K$ . Since  $\tilde{\Gamma}$  is discrete in  $H_1$ , the action of  $\tilde{\Gamma}$  on  $H_1/K$  is properly discontinuous. Hence  $\text{vcd } \tilde{\Gamma} \leq \dim H_1/K$ . Now Proposition 1.4 implies the following.

**Corollary.**  $\dim X \leq \dim H_1/K$ .

## 2. Proof of Theorem A

2.1. Let  $N$  be the kernel of the action of  $H$  on  $X$ . Then  $N$  is a normal algebraic subgroup of  $H$ . Denote  $\tilde{H} = H/N$ . In view of Selberg's theorem we may, and we will, assume that  $\tilde{\Gamma}$  acts freely on  $X$ . Since  $R_1 \subset N$  we obtain that  $\tilde{\Gamma}$  embeds in  $\tilde{H}$ . On the other hand,  $\tilde{\Gamma}$  acts properly discontinuously on  $X$ , and  $\tilde{H}$  acts continuously on  $X$ . Therefore  $\tilde{\Gamma}$  is a discrete subgroup of  $\tilde{H}$ .

**2.2.** Let  $\tilde{R}$  (resp.  $\tilde{U}$ ) be the solvable (resp. unipotent) radical of  $\tilde{H}$ . Also, denote by  $\tilde{S}$  (resp.  $\tilde{P}$ ) a maximal semisimple (resp. reductive) subgroup of  $\tilde{H}$ . We assume that  $\tilde{P} \supset \tilde{S}$ . In view of the definition of  $X$  (see 1.2) there is a point  $a \in X$  which is fixed by  $\tilde{P}$ . Let  $V$  be the tangent space of  $X$  at  $a$ , and  $\rho: \tilde{P} \rightarrow \text{Gl}(V)$  be the representation of  $\tilde{P}$  on  $V$ . Since  $H$  acts algebraically and faithfully on  $X$ , the representation  $\rho$  is faithful (see [3]).

**Lemma.** *If  $x \in \tilde{P}$ , then  $\rho(x)$  has an eigenvalue equal to 1.*

*Proof.* Let  $\varphi: \tilde{H} \rightarrow \tilde{P}$  be the projection of  $\tilde{H}$  on  $\tilde{P}$ . (Recall that  $\tilde{H} = \tilde{P} \times \tilde{U}$  is a semidirect product of  $\tilde{P}$  and  $\tilde{U}$ .) Since  $\tilde{\Gamma}$  is Zariski dense in  $\tilde{H}$ , it is enough to prove that  $\rho\varphi(\gamma)$  has an eigenvalue 1 for each  $\gamma \in \tilde{\Gamma}$ . Let  $\gamma = \gamma_s \gamma_u$  be the Jordan decomposition of  $\gamma$  in  $\tilde{H}$  [5, Chapter 1]. There is an element  $u \in \tilde{U}$  such that  $\gamma_s \in u\tilde{P}u^{-1}$ . Let  $A$  be the smallest (unipotent) algebraic subgroup of  $\tilde{H}$  containing  $\gamma_u$ . Denote  $b = ua$ . Since  $\gamma_s$  commutes with every element from  $A$  and  $\gamma_s b = b$ , we get that  $\gamma_s$  fixes the orbit  $Ab$  pointwise. On the other hand, the orbit  $Ab$  is  $\gamma$ -invariant. In view of Proposition 1.4(a)  $Ab$  is homeomorphic to a vector space  $\mathbb{R}^k$  with  $k > 0$ .

Let  $\gamma_s = uhu^{-1}$ ,  $h \in \tilde{P}$ . It is easy to see that  $h$  fixes  $(u^{-1}A)b$  pointwise. Since  $(u^{-1}A)b$  is homeomorphic to a nontrivial vector space and  $a \in (u^{-1}A)b$ , we obtain that  $\rho(h)$  has an eigenvalue equal to 1. Note that  $\gamma_s = h(h^{-1}uhu^{-1})$  and  $h^{-1}uhu^{-1} \in \tilde{U}$ . This implies  $\varphi(\gamma_s) = h$ . On the other hand, the homomorphism  $\rho\varphi$  preserves the Jordan decomposition (cf. [5, Theorem 4.4]). Therefore  $\rho\varphi(\gamma)$  has an eigenvalue equal to 1. The lemma is proved.

**2.3.** The above lemma, inspired by a conversation with G. A. Soifer, is designed to replace the strong Jung-van de Kulk theorem (see [12], [14]) in the initial version [24] of our proof. Since the use of this theorem seems to be important from a conceptual point of view, we recall its formulation and sketch how it can be applied to the present situation. Let  $\text{GA}_2(\mathbb{C})$  be the group of all regular automorphisms of the affine space  $\mathbb{C}^2$ . An automorphism  $\varphi$  of  $\mathbb{C}^2$  is given by  $f = (f_1, f_2)$ , where  $f_1$  and  $f_2$  are polynomials from  $\mathbb{C}[x_1, x_2]$ . (The  $\mathbb{C}$ -algebra automorphism of  $\mathbb{C}[x_1, x_2]$  corresponding to  $\varphi$  sends  $x_i \rightarrow f_i$ ,  $i = 1, 2$ .) The triangular ("Borel") subgroup of  $\text{GA}_2(\mathbb{C})$  is  $\text{BA}_2(\mathbb{C}) = \{f \in \text{GA}_2(\mathbb{C}) \mid f_1 = a_1x_1 + b_1, f_2 = a_2x_2 + p(x_1)\}$ , where  $a_1 \in \mathbb{C}^*$ ,  $b_1 \in \mathbb{C}$ , and  $p(x_1) \in \mathbb{C}[x_1]$ . The theorem of Jung-van der Kulk says that  $\text{GA}_2(\mathbb{C})$  is generated by its subgroups

$\text{Aff}_2(\mathbb{C})$  and  $\text{BA}_2(\mathbb{C})$ . I. R. Shafarevich [22] (see also the later work [13]) proved that  $\text{GA}_2(\mathbb{C}) = \text{Aff}_2(\mathbb{C}) *_C \text{BA}_2(\mathbb{C})$  is the amalgamated free product with  $C = \text{Aff}_2(\mathbb{C}) \cap \text{BA}_2(\mathbb{C})$ . Note that  $\text{BA}_2(\mathbb{C})$  and  $\text{GA}_2(\mathbb{C})$  are infinite dimensional algebraic groups in the sense of [22]. On the other hand, if  $L \subset \text{GA}_2(\mathbb{C})$  is a finite-dimensional algebraic subgroup of  $\text{GA}_2(\mathbb{C})$ , then in view of a result of J.-P. Serre [21]  $L$  is conjugated to a subgroup of  $\text{Aff}_2(\mathbb{C})$  or  $\text{BA}_2(\mathbb{C})$ . It is easy to see that if  $L$  is a subgroup of  $\text{BA}_2(\mathbb{C})$ , then it is solvable.

Now we return to our particular situation and assume that  $\dim X = 2$  and  $\tilde{S} = \text{SL}_2(\mathbb{R})$ . (It follows from 2.5 below that this is the case when the rank of  $S$  does not exceed 1.) We consider the complexification  $\tilde{H}$  of  $\tilde{H}$  and the action of  $\tilde{H}$  on  $X \otimes \mathbb{C} (\cong \mathbb{C}^2)$ . Now it easily follows from the above results and the solution of the Auslander conjecture for  $n = 2$  [8, §2] that  $\tilde{\Gamma}$  (equivalently,  $\Gamma$ ) is a virtually solvable group.

**2.4.** Next we need the following result. (See [10, Proposition 2.6] for an independent proof.)

**Lemma.** *Let  $Q$  be a simple (real) algebraic group and  $\text{rk}_{\mathbb{R}} Q \leq 1$ . Let  $d$  be the dimension of the minimal (nontrivial) representation of  $Q$ , and  $s$  be the dimension of the symmetric space of  $Q$ . Then  $d \geq s$  and  $d = s$  if and only if  $Q$  is isomorphic to  $\text{SL}_2(\mathbb{R})$  and  $d = s = 2$ .*

*Proof.* Let  $Q$  be an  $\mathbb{R}$ -simple algebraic group (i.e.,  $Q$  does not contain any proper infinite normal algebraic subgroup defined over  $\mathbb{R}$ ) such that  $Q(\mathbb{R}) = Q$ . Assume that  $Q$  is not an absolutely simple algebraic group (i.e.,  $Q$  is not a simple algebraic group over  $\mathbb{C}$ ). The algebraic group  $Q$ , admits a simply connected covering  $\tilde{Q}$  defined over  $\mathbb{R}$  [23, Proposition 2.6.1]. There exists an algebraic group  $P$  defined over  $\mathbb{C}$  such that  $\tilde{Q} = R_{\mathbb{C}/\mathbb{R}} P$ , where  $R_{\mathbb{C}/\mathbb{R}} P$  is the restriction of  $P$  to  $\mathbb{R}$  [23, 3.1.2]. But  $\text{rk}_{\mathbb{R}} S = \text{rk}_{\mathbb{R}} \tilde{S} = \text{rk}_{\mathbb{C}} P = 1$ , where  $\text{rk}_{\mathbb{C}} P$  is the rank of the algebraic group  $P$  over  $\mathbb{C}$ . Therefore  $P = \text{SL}_2(\mathbb{C})$  and  $\tilde{S}(\mathbb{R})$  is homeomorphic to  $\text{SL}_2(\mathbb{C})$ . Hence the symmetric space of  $S$  is homeomorphic to  $\text{SL}_2(\mathbb{C})/\text{SU}(2)$ . So, if  $Q$  is not an absolutely simple algebraic group, then  $d = 4$  and  $s = 3$ . Assume that  $Q$  is an absolutely simple algebraic group. It follows from the classification results in [11] (or [23]) that  $Q$  is locally isomorphic to  $\text{SL}_2(\mathbb{R})$ ,  $\text{SU}(1, n)$  ( $n \geq 2$ ),  $\text{SO}(1, n)$  ( $n \geq 4$ ),  $\text{Sp}(1, n)$  ( $n \geq 2$ ), and a group of type  $F_4$  with rank 1. Let  $H$  be one of those groups, and  $d'$  be the dimension of its standard representation. It is well known that  $d'$  does not exceed the dimension of the minimal representation of any group  $H'$  locally isomorphic to  $H$ . Now our lemma follows from the following

information about  $s$  and  $d'$  extracted from [11, Table 5, p. 518]:

Symmetric space	$s$	$d'$
$\mathrm{SL}_2(\mathbb{R})/\mathrm{SO}(2)$	2	2
$\mathrm{SU}(1, n)/\mathrm{S}(\mathrm{U}_1 \times \mathrm{U}_n)$	$2n$	$2(n+1)$
$\mathrm{SO}(1, n)^0/\mathrm{SO}(n)$	$n$	$n+1$
$\mathrm{Sp}(1, n)/\mathrm{Sp}(1) \times \mathrm{Sp}(n)$	$4n$	$4(n+1)$
$(f_{4(-20)'}, \mathrm{SO}(9))$	16	26

The lemma is proved.

**2.5.** Let  $\tilde{S}$  be a maximal semisimple subgroup of  $\tilde{H}$ . Assume that  $\tilde{S} \neq \{1\}$ , and let  $\tilde{S} = \tilde{S}_1 \tilde{S}_2 \cdots \tilde{S}_r$  be an almost direct product of simple real algebraic groups  $\tilde{S}_i$ . Let  $V = V_1 \oplus \cdots \oplus V_k$  be a decomposition of the tangent space  $V$  (see 2.2) on irreducible  $S$ -submodules. It is well known that every  $V_i$  is a tensor product of irreducible nontrivial  $\tilde{S}_j$ -modules  $V_{ij}$ , i.e.,  $V_i = \bigotimes_{j=1}^{r_i} V_{ij}$ ,  $r_i \in \mathbb{N}$ . Let  $n_{ij} = \dim_{\mathbb{R}} V_{ij}$  and  $n = \dim_{\mathbb{R}} V$ . Then  $n = \sum_{i=1}^k (\prod_{j=1}^{r_i} n_{ij})$ . For every  $\tilde{S}_j$  let  $d_j$  be the dimension of the minimal (nontrivial) real representation of  $\tilde{S}_j$ , and let  $s_j$  be the dimension of the symmetric space of  $\tilde{S}_j$ . Then  $s = s_1 + \cdots + s_r$  will be the dimension of the symmetric space of  $S$ . Since  $\rho$  is a faithful representation,

$$n \geq d_1 + d_2 + \cdots + d_r.$$

In view of Corollary 1.5 we have

$$s_1 + s_2 + \cdots + s_r \geq n \geq d_1 + d_2 + \cdots + d_r.$$

According to Lemma 2.4,  $d_i \geq s_i$ . Therefore  $d_i = s_i = 2$  for every  $i = 1, 2, \dots, r$  (Lemma 2.4). Hence every  $V_{ij}$  is a standard  $\mathrm{SL}_2(\mathbb{R})$ -module. In particular, there is an element  $x \in \tilde{S}$  such that  $\rho(x)$  does not have an eigenvalue equal to 1. The latter contradicts Lemma 2.2. Our Theorem A is proved.

## References

- [1] L. Auslander, *The structure of compact locally affine manifolds*, *Topology* **3** (1964) 131–139.
- [2] —, *Simply transitive groups of affine motions*, *Amer. J. Math.* **99** (1977) 809–821.
- [3] H. Bass, *Algebraic group actions on affine space*, *Contemp. Math.* **43** (1985) 1–22.
- [4] D. Birkes, *Orbits of linear algebraic groups (Appendix)*, *Ann. of Math. (2)* **93** (1971) 459–495.



- [5] A. Borel, *Linear algebraic groups*, Benjamin, New York, 1969.
- [6] Y. Carrière & F. Dal'bo, *Généralisations du 1<sup>er</sup> théorème de Bieberbach sur les groupes cristallographiques*, Preprint, Institut Fourier (Grenoble), 1989.
- [7] D. Fried, *Flat spacetimes*, J. Differential Geometry **26** (1987) 385–396.
- [8] D. Fried & W. Goldman, *Three-dimensional affine crystallographic groups*, Advances in Math. **48** (1983) 1–49.
- [9] W. Goldman & Y. Kamishima, *The fundamental group of a compact flat space form is virtually polycyclic*, J. Differential Geometry **19** (1984) 233–240.
- [10] F. Grunewald & G. A. Margulis, *Transitive and quasitransitive actions of affine groups preserving a generalized Lorentz-structure*, Preprint, Max Plank Institut, Bonn, 1988.
- [11] S. Helgason, *Differential geometry, Lie groups and symmetric spaces*, Academic Press, New York, 1978.
- [12] H. W. E. Jung, *Über ganze birationale Transformationen der Ebene.*, J. Reine Angew. Math. **184** (1942) 161–174.
- [13] T. Kambayashi, *Automorphism group of polynomial rings and algebraic group action on an affine variety*, J. Algebra **60** (1979) 439–451.
- [14] Van der Kulk, *On polynomial rings in two variables*, Nieuw Arch. Wisk. (3) **1** (1953) 33–41.
- [15] G. A. Margulis, *Free completely discontinuous groups of affine transformations*, Dokl. Akad. SSSR **272** (1983), no. 4, 785–788; English transl., Soviet Math. Dokl. **28** (1983), No. 2, 435–439.
- [16] J. Milnor, *On fundamental groups of complete affinely flat manifold*, Advances in Math. **25** (1977) 178–187.
- [17] M. S. Raghunathan, *Discrete subgroups of Lie groups*, Ergebnisse Math. u. i. Grenzgeb., Vol. 68, Springer, Berlin, 1972.
- [18] M. Rosenlicht, *On quotient varieties and the affine embedding of certain homogeneous spaces*, Trans. Amer. Math. Soc. **101** (1961) 211–233.
- [19] A. Selberg, *On discontinuous groups of higher-dimensional symmetric spaces*, Contributions to Function Theory, Tata Inst. Fund. Res., Bombay, 1960, 147–164.
- [20] J.-P. Serre, *Cohomologie des groupes discrets*, Prospects in Math., Ann. of Math. Studies, No. 70, Princeton University Press, Princeton, NJ, 1971, 77–169.
- [21] —, *Trees*, Springer, Berlin, 1980.
- [22] I. R. Shafarevich, *On some infinite dimensional algebraic groups*, Rend. Mat. (5) **25** (1966) 208–212.
- [23] J. Tits, *Classification of algebraic semisimple groups*, Proc. Sympos. Pure Math., Vol. 9, Amer. Math. Soc., Providence, RI, 1966, 33–62.
- [24] G. Tomanov, *The fundamental group of a generalized Lorentz space form is virtually solvable*, Preprint, Tata Inst. Fund. Res., Bombay, 1989.
- [25] G. Tomanov, *On a conjecture of L. Auslander* (in preparation).
- [26] J. A. Wolf, *Spaces of constant curvature*, Publish or Perish, Boston, 1974.